

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE  
 Commissioner for Patents  
 P.O. Box 1450  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All other correspondence including the patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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26990 7590 09/20/2006

DAVID B. WALLER & ASSOCIATES  
 5677 OBERLIN DRIVE  
 SUITE 214  
 SAN DIEGO, CA 92121

12/13/2006 WASFAW2 00000084 10720840

01 FC:2501 700.00 OP  
 02 FC:1504 300.00 OP

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

|                  |                    |
|------------------|--------------------|
| DAVID B. WALLER  | (Depositor's name) |
| [Signature]      | (Signature)        |
| 11 December 2006 | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/720,840      | 11/24/2003  | David A. Schwartz    | SOL.002.DIV1        | 3960             |

TITLE OF INVENTION: TRIPHOSPHATE OLIGONUCLEOTIDE MODIFICATION REAGENTS AND USES THEREOF

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES          | \$700         | \$300               | \$0                  | \$1000           | 12/20/2006 |

| EXAMINER         | ART UNIT | CLASS-SUBCLASS |
|------------------|----------|----------------|
| LEWIS, PATRICK T | 1623     | 536-026260     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 DAVID B. WALLER & ASSOCIATES  
 2 N/A  
 3 N/A

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
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4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☒ A check is enclosed.  
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☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_

Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Docket No: SOL.002.DIV1  
EXPRESS MAIL NO.:EV942740206US

ISSUE FEE PAYMENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Schwartz et al.  
Serial No.: 10/720,840  
Filed: 24 November 2003  
Group Art Unit: 1623  
Examiner: P. T. Lewis

For: "TRIPHOSPHATE OLIGONUCLEOTIDE MODIFICATION REAGENTS  
AND USES THEREOF"

Mail Stop: Issue Fee  
Commissioner for Patents  
U.S.P.T.O.  
Box 1450  
alexandria, VA 22313-1450

**TRANSMITTAL FORM**

Dear Sir:

Transmitted herewith for filing is the provisional patent application entitled "Triphosphate Oligonucleotide Modification Reagents and Uses Thereof" from Inventor David A. Schwartz and Richard I. Hogrefe. Enclosed are:

- ☐ Response to Notice of Missing Parts, 4 pages
- ☒ Certificate of Mailing by "Express Mail"
- ☒ A return post card
- ☒ Issue Fee Transmittal, 1 page
- ☐ Copy of Notice of Missing Parts, 2 pages
- ☐ Sequence Listing, 2 pages
- ☐ Two Discs containing the sequence listing in computer readable form
- ☐ The additional claims fee has been calculated as shown below.

| For                       | No. Filed | No. Extra | Rate          | Fee                |
|---------------------------|-----------|-----------|---------------|--------------------|
| Claims                    | 0         | 0         | 0x\$25.00 =   | \$ 0.00            |
| Independent Claims        | 0         | 0         | 0x \$100.00 = | \$ 0.00            |
| Multiple Dependent Claims |           |           | 0x \$180.00 = | \$ 0.00            |
|                           |           |           |               | \$ 0.00            |
| Issue Fee                 |           |           | \$700.00      | \$ 1,000.00        |
| Publication Fee           |           |           | \$300.00      |                    |
| <b>TOTAL FEE:</b>         |           |           |               | <b>\$ 1,000.00</b> |

- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_.
- ☐ Any additional filing fees required under 37 C.F.R §1.16

- ☐ Any patent application processing fees under 37 C.F.R §1.17
- ☐ A check no.: 4743 for the filing fee in the amount of one thousand dollars (\$1,000.00) is enclosed

Respectfully submitted,



David B. Waller  
Patent Agent No.: 43,978

Please send all correspondence to:

David B. Waller  
David B. Waller & Associates  
5677 Oberlin Drive, Suite 214  
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Phone: (858) 457-2014  
Facsimile: (858) 457-2308  
E-mail: dbwipmg@sbcglobal.net



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Docket No.: SOL.002.DIV1  
Express Mail No.: EV942740206US

ISSUE FEE PAYMENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David A. Schwartz  
Application No.: 10/720,840  
Filed: 24 November 2003  
Group: 1623  
Examiner: P. T. Lewis

Title: "TRIPHOSPHATE OLIGONUCLEOTIDE MODIFICATION  
REAGENTS AND USES THEREOF "

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Dear Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No.: EV942740206US, on 11 December 2006, addressed Mail Stop: Issue Fee, Commissioner for Patents, U.S.P.T.O., Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

David B. Waller  
Patent Agent No.: 43,978

Enclosures:

Issue Fee Transmittal, 1 page  
Transmittal + Duplicate, 2 pages  
Return Postcard  
Check No.: 4743 in the amount of \$1,000.00